

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
04558.048001

In Re Application Of: **Masahiko HIROSE et al.**

Serial No.
09/782,339

Filing Date
02/13/2001

Examiner
K. Menon

Group Art Unit
1723

Title: **WATER TREATMENT APPARATUS**

Address to:

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

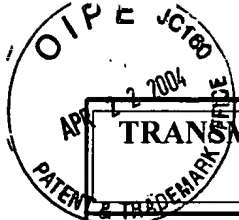
37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).



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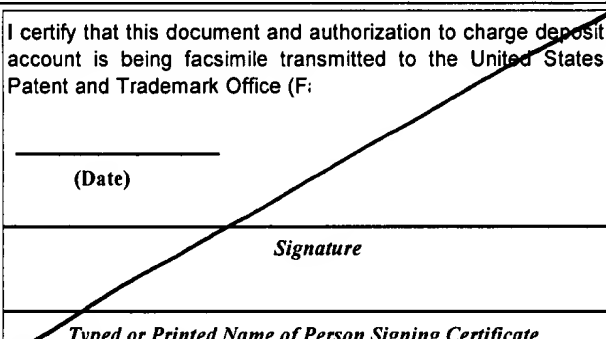
WATER TREATMENT APPARATUS

Payment of Fee

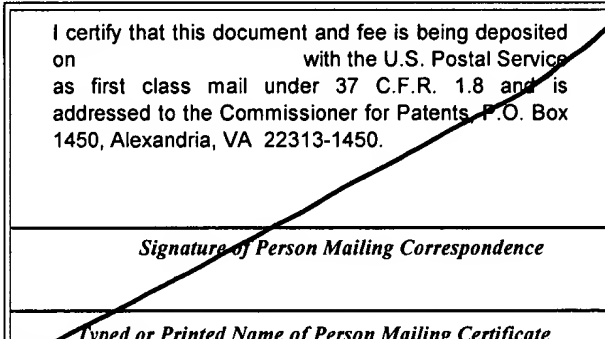
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0591**
as described below.
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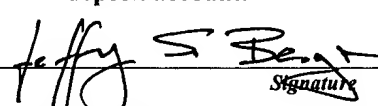
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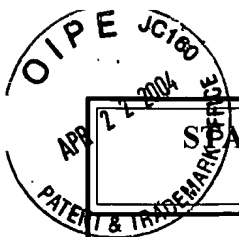
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 **45,925**
Signature
Jonathan P. Osha, Reg. No. 33,986
OSHA & MAY L.L.P.
1221 McKinney Steet, Suite 2800
Houston, Texas 77010
Telephone: 713/228-8600
Facsimile: 713/228-8778

Dated: **4/22/04**

CC:



**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
04558.048001

In Re Application Of: **Masahiko HIROSE et al.**

Serial No.
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K. Menon

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1723

Invention: **WATER TREATMENT APPARATUS**

TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Jeffrey S. Bergam
Signature
Jonathan P. Osha, Reg. No. 33,986
OSHA & MAY L.L.P.
1221 McKinney Street, Suite 2800
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Telephone: 713/228-8600
Facsimile: 713/228-8778

45,925

Dated:

4/22/04

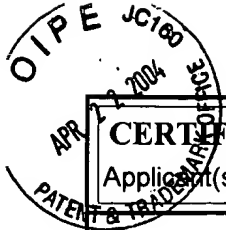
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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Masahiko HIROSE et al.**

Docket No.

04558.048001

Serial No.

09/782,339

Filing Date

02/13/2001

Examiner

K. Menon

Group Art Unit

1723Invention: **WATER TREATMENT APPARATUS**

I hereby certify that the following correspondence:

Information Disclosure Statement*(Identify type of correspondence)*

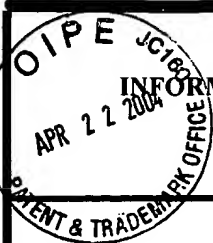
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(Date)

Ava R. Brown*(Typed or Printed Name of Person Mailing Correspondence)*

Ava R. Brown

*(Signature of Person Mailing Correspondence)***EV421050737US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

04558.048001

Application Number

09/782,339

Applicant(s)

Masahiko HIROSE et al.

Filing Date

02/13/2001

Group Art Unit

1723

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	A1	European Application No. 02711448.7, Office Action dated February 23, 2004
	A2	M. MULDER; "Basic Principles of Membrane Technology"; Chapter VIII, Module and Process Design; Kluwer Academic Publishers; 1990; pp 314-315

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.